

**TEMPE MARKETPLACE**

**CONTRACTOR RULES AND REGULATIONS**

**I. INTRODUCTION**

It is the responsibility of the contractor to communicate all information contained herein, and in other documents and agreements, by which he/she is bound to all employees, suppliers and any others providing services through the General Contractor. In no case will an entity's lack of knowledge of any regulations contained herein or in any other document constitute reasonable grounds for failure to comply.

These rules will be strictly enforced and failure to comply may result in work delay, work stoppage or fines. Vestar reserves the right to request the Contractor stop work for any reason it deems to be in the best interest of the shopping center. Proper communication and willingness to cooperate can alleviate, if not eliminate, many problems.

Should you have any questions in reference to the subjects discussed here, please do not hesitate to contact the following:

**Vestar Property Management - Property Address: 2000 E. Rio Salado Parkway #1150, Tempe AZ 85281**

**Contacts:**

- Jim Brennan, Vice President of Operations, General Manager, [jbrennan@vestar.com](mailto:jbrennan@vestar.com), Direct 602-553-2665
- Yen Phung, Asst. Property Manager, [yphung@vestar.com](mailto:yphung@vestar.com), Direct 602-553-2633
- Jim Tyson, Facilities Director, US Diversified Services, [jimt@usdservices.com](mailto:jimt@usdservices.com), Office 480-538-8603
- Mike O’Neal, Director of Security, Trident Security, [moneal@trident-security.com](mailto:moneal@trident-security.com), Office 480-968-0004
- Jaren Murphy, Construction Manager, [jmurphy@vestar.com](mailto:jmurphy@vestar.com)
- Beau Hartwell, Tenant Coordinator, [bhartwell@vestar.com](mailto:bhartwell@vestar.com)
- Jack Van Kleunen, Senior Vice President, Construction, [jvankleunen@vestar.com](mailto:jvankleunen@vestar.com)

**II. PRE-CONSTRUCTION MEETING**

Prior to commencement of construction, the contractor is required to meet with the representative from Vestar Property Management, to review project policies and procedures, and to establish a staging area. After the staging area has been identified, and prior to mobilizing on-site, the following must be submitted:

1. Submit a Certificate of Insurance to Vestar with the following requirements:
2. General Liability \$2 Million aggregate / \$2 Million per occurrence / \$5 Million umbrella.

<u>Certificate Holder:</u>  <b>Vestar-CPT Tempe Marketplace, LLC</b> 2425 E. Camelback Rd. Suite 750 Phoenix, AZ 85016	<u>Additional Insured 1:</u>  <b>Vestar Properties, Inc.</b> 2425 E. Camelback Rd. Suite 750 Phoenix, AZ 85016	<u>Additional Insured 2:</u>  <b>Pacific Life Insurance Company</b> 700 Newport Center Drive Newport Beach, CA 92660 Attn: Jenifer Johnson
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3. Provide proof of Workman’s Compensation and automobile coverage on certificate.

4. Provide a 24-hour contact list for General Contractor, which should include all Subcontractors onsite and 24-hour contact for Ownership of Tenant/Store.
5. Provide a copy of contractor's State of Arizona general contractor's and business license.
6. Provide a copy of the building permit as approved by the municipality.
7. Copy of as-builts submitted to Vestar upon completion.
8. A refundable construction damage deposit of \$2,500.00 paid by Tenant on behalf of general contractor is required for any Tenant work payable to **Vestar CPT Tempe Marketplace, LLC.** Any violations of policies and procedures will be subject to construction deposit.

### III. **RULES & REGULATIONS**

1. If Tenant's work includes the exterior of the building, storefront or common area, at a minimum Tenant's contractor shall construct an 8ft temporary, dust control barricade, suitable for paint and/or graphics. Tenant or Tenant's contractor shall submit a barricade plan to Landlord for review and approval prior to the start of construction.
2. Tenant or Tenant's Contractor is required to coordinate with Vestar Property Management for the type, size and location of a construction dumpster. Dumpsters with graffiti will not be allowed on property and must be immediately removed.
  - a. A onetime \$250.00 dumpster placement fee will be deducted from construction deposit
  - b. Contractor must place privacy fencing to surround the dumpster (fencing company may not drill holes in asphalt)
3. Tenant or Tenant's Contractor shall coordinate with Vestar Property Management for information regarding required Roofing, Fire Alarm and Fire Sprinkler subcontractors, as well as, any other Landlord required subcontractors.
  - a. Aero Automatic Sprinkler Co. - Required trade contractor for connection to existing system for Fire Alarm and Sprinkler Monitoring, 21605 N Central Ave, Phoenix, AZ 85024, Phone 623-580-7800.
  - b. Progressive Roofing - Required trade contractor for roof penetrations, David Lindner, Phone 602-390-9262.
  - c. All State Fencing - Privacy fence vendor, P-602-233-1433 (not required trade contractor but doesn't require drilling into asphalt for fence placement).
4. Any noise producing work (i.e. saw cutting, drilling, hammering, etc.) should be completed before or after Center hours. (See project work hours below).
5. Use of the front door is prohibited during construction.
6. Contractor's materials must be stored inside Tenant's space, or staging area in parking lot, at all times. However, if available or necessary, inline storage space will assess a fee of \$100.00 a day.
  - a. Upon turnover of inline space, space must be rekeyed at Tenant or Tenant's Contractor expense.
7. Any modifications on sprinkler system and/or fire control systems will be done by mall contractor at Tenant's expense. A sprinkler fee of \$175.00 per shutdown will be assessed to Tenant for draining sprinkler system to make modifications. Tenant is permitted to drain sprinkler system twice (2x's) at the above price. Each subsequent draining of sprinkler system will result in an additional \$50.00 assessment. These fees will be deducted from construction deposit.
  - a. Tenant must contact mall management to schedule a shut down.
  - b. Shut down and work must be performed outside of mall hours.
  - c. Work must be performed by Aero Automatic Sprinkler Co.
8. Installation and removal of temporary storefront barricade must be completed either prior to or after Center operating hours. **Please see the barricade requirements on page 6.**

9. All construction debris must be disposed of properly. No material shall be kept in the service area, common walkways or outside the demised premise. Contractor will be billed at \$55.00 per person per hour if Landlord performs such work on behalf of the contractor.
10. The use of the front door is prohibited during construction. This is to ensure debris from construction work does not enter the District.
11. Unless expressly stated in lease construction exhibit, contractor is prohibited from using house-supplied power/utilities for construction purposes. Contractor must supply its' own generator and must coordinate the placement of such generator with Center management.
12. All crane and lift equipment placement must be coordinated with Center management prior to work being performed, and insurance certificate for that vendor is required to be on file.
13. Upon completion of improvements all inspections must be done prior to merchandising and fixturing of space. Certificate of Occupancy must be issued, and provided to Landlord, prior to store opening.
14. Signage must be posted for public safety and/or general warning. Landlord reserves the right to remove unauthorized signage. All construction signage must be approved by Landlord prior to placement.

#### **IV. COORDINATION AND COOPERATION:**

The contractor shall not interfere or impede site or surrounding building work. In the event contractor willfully violates requirements of these Rules and Regulations, Vestar Property Management may order the contractor to remove its equipment and/or its' employees from the Shopping Center.

#### **V. JOB CONDUCT GUIDELINES:**

Workers shall be confined to approved staging areas and are required to maintain professionalism at all times. Violation of the Job Conduct Guidelines or violation of Basic Safety Rules and Regulations as required by O.S.H.A., entitles Vestar to cause violating individual and/or contractor to be removed from the site. Any injury or accident must be immediately reported, in writing, to Vestar, attention: Jim Brennan, General Manager.

(a) Head Protection:

- Hard hats must be worn at all times. NO EXCEPTIONS.

(b) First Aid:

- Every contractor is expected to provide adequate first aid and safety kits to care for any injury of their employees.

(c) Fire Control:

- No open fires, fire barrels or hot boxes.
- Fire extinguishers are required in:
  - Trailer/office, minimum 10 lb. ABC
  - Equipment, minimum 5 lb. ABC
  - Fire Watch, minimum 20 lb. ABC
- Extinguishers must be on lifts and scaffold, not on the floor.
- Combustible materials storage is not permitted on site.
- Construction trash may not accumulate. The grounds and parking area are to be kept clean at all times.

(d) Foot Protection

- Work boots are required. Loafers, tennis shoes, or soft soled shoes are not allowed.

(e) Ladders

- No metal ladders are allowed on site. Damaged ladders must be immediately removed from this project.

(f) Scaffolding

- Full handrails, mid-rails, toeboards, and full decking are required on all scaffolding regardless of height. Access ladders must either be built in or attached.

(g) Work Clothing

- All shirts must have a minimum of four (4)-inch sleeve length over shoulders and must be worn at all times. No shorts, cut-offs, tank tops, etc.

(h) Electrical

- GFI protection is required on all electrical systems.

(i) Signage

- Signage must be posted for public safety and/or general warning.

**Safety issues include, but are not limited to, the above guidelines.**

**VI. PROJECT WORK HOURS (Non-Center Hours):**

- Monday to Saturday 9:00pm – 9:00am
- Sunday 6:00pm – 9:00am
- Work is permitted during operating hours but not to the detriment of surrounding tenants or center operations. Noise producing work is not prohibited during these hours.

**VII. ACCESS AND MATERIAL DELIVERY:**

It is the responsibility of the contractor to ensure that all personnel and vendors making deliveries to the job site are aware of the job site location and access route. Contractors shall make every effort to ensure that deliveries are made during non-operating. If during operating hours, deliveries must be approved and coordinated by Property Management.

Deliveries to the site shall be controlled so that materials are not stored for excessive periods of time prior to incorporation into the structure or demised premise.

**VIII. PARKING:**

Contractors and their employees are restricted to the staging areas designated by the Lease Exhibit (or in the absence of a Lease Exhibit, by Vestar Property Management). Construction vehicles parked other than in designated areas may be subject to towing without prior notification. Towing and retrieving costs are at the vehicle owner's expense.

Parking in driveways, fire lanes and established loading zones is strictly prohibited. Delivery vehicles must be completely unloaded at curbside and then moved out immediately. Unattended vehicles in loading zones will be tagged or towed at the vehicle owner's expense.

**IX. PROTECTION OF EXISTING UTILITIES:**

The contractor is responsible for verifying the exact location of all existing utilities. The contractor shall protect utilities left in service including construction temporary power and telephone lines at all times. Any damage to utility lines caused by the contractor operations shall be reported promptly to the owning utility company and Vestar Property Management immediately. The utility company shall make repairs at the contractor's expense.

**X. MISCELLANEOUS PROTECTION:**

The contractors are responsible for protecting property, personnel and the work of other contractors in carrying out their work. The contractor is responsible for all cost of patching, repairs and replacement for work damaged by their work forces. The contractor shall take all reasonable precautions to protect their work from damage by other contractors including providing and maintaining protection and barricading of their work area. This includes, but is not limited to, any open trenches, pits and shafts, holes in floor or walls, and similar potentially hazardous areas. All contractors shall fence their respective work and staging area if work is outside the demised premise.

**XI. CLEAN-UP/TRASH:**

Clean up shall include removal and legal disposal of all debris from the site. The contractor shall remove empty carton, crates and other combustible refuse from the premises daily. Dirt or rubbish is not allowed to accumulate so it becomes detrimental to customers, other tenants, employees or to the work of the various trades. Contractor will be subject to a rate of \$55.00 per hour, per man, plus disposal rates applicable for all clean up related items due to neglect of contractor. Market rate disposal fees will apply. Contractor is required to use wet pads inside their entrance/exit to alleviate dry wall dust being tracked into the Center.

**XII. SECURITY:**

Contractor is responsible for any and all security for their project.

**XIII. COMMON AREA – SMOKING, LUNCH BREAKS AND PUBLIC RESTROOMS:**

Job site employees are not to be visible in common areas by patrons for smoke breaks and lunch breaks. Job site employees are also prohibited from using public restrooms in the Center. If necessary, Port-a-Johns will be approved in a location designated by Property Management.

#### **XIV. BARRICADE REQUIREMENTS:**

1. Minimum 1/2" Plywood. OSB/Strand Board/Drywall is strictly prohibited. (Pressure treated plywood is required for projects exceeding 4 months in length to preserve the integrity of the barricade from inclement weather.
2. 4" PVC Vinyl Black Cove Base attached to the barricade bottom to run the length of the Barricade.
3. All surfaces to be painted to match existing building color. Paint spec to be provided by Landlord.
4. All screws/nails are required to be recessed.
5. Anchoring into the common area floor is strictly prohibited.
6. Damage to Landlord property must be repaired to original condition within 24 hours. If contractor fails to repair said damage, Landlord reserves the right to make repairs and back charge contractor for such work with an additional 15% for overhead.
7. If necessary for the project, barricade doors will be constructed using same material and are required to swing inward and not outward the common area.
8. A latch will be required to allow management access from the common area.
9. If required, 4 Mil Black Fire Retardant Poly Sheathing to be installed as a dust barrier from the rear and top of barricade to storefront. No sheathing will be fastened to the outside of the barricade walls.
10. No contractor advertising/banners will be permitted unless approved by Landlord.
11. Any damage to the barricade caused by construction will need to be repaired and comply with specifications within 24 hours of notice from Landlord.
12. Barricade construction is permitted between: 5:00 A.M. - 10:00 A.M. Depending upon location, evening hours may be permitted.
13. Landlord reserves the right to modify specifications at any time for reasonable cause.

The above requirements are not to be all inclusive. Center Management reserves the right to add or delete any of the above requirements at their discretion. Please see Property Management with any questions or comments regarding the above.

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**RULES AND REGULATIONS ACKNOWLEDGEMENT SHEET:**

\_\_\_\_\_  
Name on behalf of

\_\_\_\_\_  
Title

\_\_\_\_\_  
Store

\_\_\_\_\_  
Date

\_\_\_\_\_  
Jim Brennan, CRX, CSM  
Vice President of Operations, General Manager  
Tempe Marketplace

\_\_\_\_\_  
Date

\_\_\_\_\_  
Angela Manca  
Assistant General Manager  
Tempe Marketplace

\_\_\_\_\_  
Date

**CONTRACTOR CONTACT SHEET**

\_\_\_\_\_  
Tenant Name:

\_\_\_\_\_  
Owner or Corporate Contact Name:

\_\_\_\_\_  
Email Address:

\_\_\_\_\_  
Phone Number:

\_\_\_\_\_  
Name of Contractor Company:

\_\_\_\_\_  
Contractor Contact Name:

\_\_\_\_\_  
Email Address:

\_\_\_\_\_  
Phone Number:

\_\_\_\_\_  
Name of Sub-Contractor Company:

\_\_\_\_\_  
Sub-Contractor Contact Name:

\_\_\_\_\_  
Email Address:

\_\_\_\_\_  
Phone Number:

**\*\*\*This sheet must be filled out and delivered prior to / day of construction meeting\*\*\***